

Information and documentation— Records management

Part 1: Concepts and principles



This Australian Standard® was prepared by Committee IT-021, Records and Document Management Systems. It was approved on behalf of the Council of Standards Australia on 20 March 2017.

This Standard was published on 29 May 2017.

The following are represented on Committee IT-021:

- AMS Imaging
- Australian Computer Society
- Australian Industry Group
- Australian Society of Archivists
- City of Boroondara
- Department of Defence (Australian Government)
- Monash University
- National Archives of Australia
- Office of Finance & Services (NSW)
- Public Record Office Victoria
- Queensland State Archives
- Records and Information Management Professionals Australasia
- State Archives and Records Authority of New South Wales
- State Records Office of Western Australia
- University of Sydney

This Standard was issued in draft form for comment as DR AS/NZS ISO 15489.1:2017.

Standards Australia wishes to acknowledge the participation of the expert individuals that contributed to the development of this Standard through their representation on the Committee and through the public comment period.

Keeping Standards up-to-date

Australian Standards® are living documents that reflect progress in science, technology and systems. To maintain their currency, all Standards are periodically reviewed, and new editions are published. Between editions, amendments may be issued.

Standards may also be withdrawn. It is important that readers assure themselves they are using a current Standard, which should include any amendments that may have been published since the Standard was published.

Detailed information about Australian Standards, drafts, amendments and new projects can be found by visiting **www.standards.org.au**

Standards Australia welcomes suggestions for improvements, and encourages readers to notify us immediately of any apparent inaccuracies or ambiguities. Contact us via email at **mail@standards.org.au**, or write to Standards Australia, GPO Box 476, Sydney, NSW 2001.

AS ISO 15489.1:2017

Australian Standard®

Information and documentation— **Records management**

Part 1: Concepts and principles

Originated as part of AS 4390.1—1996, AS 4390.2—1996, AS 4390.3—1996, AS 4390.4—1996,

AS 4390.5—1996, and AS 4390.6—1996. AS 4390.1—1996, AS 4390.2—1996, AS 4390.3—1996, AS 4390.4—1996, AS 4390.5—1996, and AS 4390.6—1996 revised, amalgamated and redesignated as AS ISO 15489.1—2002 and AS ISO 15489.2—2002. AS ISO 15489.1—2002 and AS ISO 15489.2—2002 revised, amalgamated and redesignated as

AS ISO 15489.1:2017.

COPYRIGHT

© Standards Australia Limited

All rights are reserved. No part of this work may be reproduced or copied in any form or by any means, electronic or mechanical, including photocopying, without the written permission of the publisher, unless otherwise permitted under the Copyright Act 1968.

PREFACE

This Standard was prepared by the Standards Australia Committee IT-021, Records and Document Management Systems, to supersede AS ISO 15489.1—2002, *Records Management*, Part 1: *General* and AS ISO 15489.2—2002, *Records Management*, Part 2: *Guidelines*.

The objective of this Standard is to define the concepts and principles from which approaches to the creation, capture and management of records are developed. This Standard applies to the creation, capture and management of records regardless of structure or form, in all types of business and technological environments, over time.

This Standard is identical with, and has been reproduced from ISO 15489-1:2016, *Information and documentation*—Records management—Part 1: Concepts and principles.

As this Standard is reproduced from an International Standard, the following applies:

- (a) In the source text 'this part of ISO 15489' should read 'this Australian Standard'.
- (b) A full point substitutes for a comma when referring to a decimal marker.

None of the normative references in the source document have been adopted as Australian or Australian/New Zealand Standards.

CONTENTS

1	Scope	
2	Normative references	1
3	Terms and definitions	1
4	Principles for managing records	3
5	5.1 General 5.2 Records 5.2.1 General 5.2.2 Characteristics of authoritativ 5.2.3 Metadata for records 5.3 Records systems 5.3.1 General 5.3.2 Characteristics of records sys	4 4 4 4 4 4 4 5 5 6 6 6 6 6 6 6 6 6 6 6
6	6.1 General 6.2 Policies 6.3 Responsibilities 6.4 Monitoring and evaluation	
7	7.1 General 7.2 Scope of appraisal 7.3 Understanding the business 7.4 Determining records requirements	
8	 8.1 General 8.2 Metadata schemas for records 8.3 Business classification schemes 8.4 Access and permissions rules 	
9	9.1 General 9.2 Creating records 9.3 Capturing records 9.4 Records classification and indexing 9.5 Access control 9.6 Storing records 9.7 Use and reuse 9.8 Migrating and converting records	aging records 16 16 16 17 17 18 18 18 18
Rihl	liogranhy	20



The is a new provider i arenade and chare publication at the limit below	This is a free preview.	Purchase the	entire publication	at the link below:
--	-------------------------	--------------	--------------------	--------------------

Product Page

- Dooking for additional Standards? Visit Intertek Inform Infostore
- Dearn about LexConnect, All Jurisdictions, Standards referenced in Australian legislation